PATENT

ATTORNEY DOCKET No.: STEM1170-1

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Upshall et al.

Art Unit:

1635

Application No.:

09/961,227

Examiner:

Brian A. Whiteman

Filed:

September 20, 2001

Title:

METHOD OF TREATMENT OF TUMORS USING TRANSFORMING GROWTH FACTOR-ALPHA

Mail Stop Non-Fee Amendment P. O. Box 1450 Commissioner for Patents Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIREMENT

Sir:

In response to the Requirement for Restriction dated October 1, 2003, Applicant elects, with traverse, Group III, consisting of Claims 20, 22-24, drawn to an in vivo method of treating a cell proliferative disorder in a subject comprising administering a vector comprising a polynucleotide sequence encoding TGF-alpha operably linked to a promoter to the subject, classifiable in class 435, subclass 455.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on October 31, 2003, in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Karen LePari

In re Application of:

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Application No.: 09/961,227 Filed: September 20, 2001

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If the Examiner would like to discuss any of the issues raised in the Response, Applicants' representative can be reached at (858) 638-6724. Please charge any additional fees, or make any credits, to Deposit Account No. 50-1355.

Respectfully submitted,

PATENT

Attorney Docket No.: STEM1170-1

Date: October 31, 2003

Kelly K. Rexnolds, J.D.

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TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application please find:

- 1. Response to the Restriction Requirement mailed October 1, 2003 (2 pages); and
- 2. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on October 31, 2003, in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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No fee is deemed necessary with the filing of this paper. However, the Commissioner is hereby authorized to charge any other fees associated with the filing submitted herewith, or credit any overpayments to Deposit Account No. 50-1355. A duplicate copy of the Transmittal Sheet is enclosed.

Respectfully submitted,

PATENT

Attorney Docket No.: STEM1170-1

Date: October 31, 2003

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